PTO/SB/05 (11-00)

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UTILITY PATENT APPLICATION TRANSMITTAL

Attorney Docket No. 16869P024200 First Inventor Morita, Akira, et al. PAYMENT PROCESSING METHOD AND SYSTEM

Approv

Express Mail Label No. EL630582703US (Only for new nonprovisional applications under 37 C.F.R. § 1.53(b)) Assistant Commissioner for Patents APPLICATION ELEMENTS ADDRESS TO Box Patent Application See MPEP chapter 600 concerning design patent application contents. Washington, DC 20231 1. 🛛 Fee Transmittal Form (e.g., PTO/SB/17) 7. CD-ROM or CD-R in duplicate, large table or (Submit an original and a duplicate for fee processing) Computer Program (Appendix) Applicant claims small entity status. 2. 8. Nucleotide and/or Amino Acid Sequence Submission See 37 CFR 1.27. (if applicable, all necessary) 3. [Total Pages L24 Specification a. Computer Readable Form (CRF) b. Specification Sequence Listing on: (preferred arrangement set forth below) - Descriptive title of the Invention i. CD-ROM or CD-R (2 copies); or - Cross References to Related Applications ii.

paper number of pages - Statement Regarding Fed sponsored R & D - Reference to sequence listing, a table, or c. Statements verifying identity of above copies a computer program listing appendix **ACCOMPANYING APPLICATIONS PARTS** - Background of the Invention 9. 🖾 🗸 - Brief Summary of the Invention Assignment Papers (cover sheet & document(s)) - Brief Description of the Drawings (if filed) 10. 🔲 37 C.F.R.§3.73(b)Statement ☐ Power of - Detailed Description (when there is an assignee) Attorney - Claim(s) - Abstract of the Disclosure 11. 🔲 English Translation Document (if applicable) 4. 🔯 Drawing(s) (35 U.S.C.113) [Total Sheets 11 12. 🔯 Copies of IDS Information Disclosure Statement (IDS)/PTO-1449 Citations 5. Oath or Declaration [Total Pages | 5 13. 🔲 **Preliminary Amendment** Newly executed (original or copy) 14. 🛛 Return Receipt Postcard (MPEP 503) b. Copy from a prior application (37 CFR 1.63 (d)) (Should be specifically itemized) (for a continuation/divisional with Box 18 completed) 15. 🛛 Certified Copy of Priority Document(s) i. ☐ DELETION OF INVENTOR(S) (if foreign priority is claimed) Signed statement attached deleting inventor(s) 16. 🔲 Nonpublication Request under 35 U.S.C. named in the prior application, see 37 CFR 122(b)(2)(B)(i). Applicant must attach form PTO/SB/35 1.63(d)(2) and 1.33(b). or its equivalent 6. Application Data Sheet. See 37 CFR 1.76 17. 🔲 Other: 18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76: □ Continuation □ Divisional ☐ Continuation-in-part (CIP) of prior application No: _ Prior application information: Examiner Group Art Unit: For CONTINUATION or DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts. 19. CORRESPONDENCE ADDRESS or 🔲 Correspondence address below □ Customer Number or Bar Code Label 20350 (Insert Customer No. or Attach bar code label here) Name Address City State Zip Code Telephone Country Fax Name (Print/Type) Chun-Pok Leung 41,405 Registration No. (Attorney/Agent)

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Box Patent Application, Washington, DC 20231. PA 3155197 v1

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Date

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Signature

TOTAL AMOUNT OF PAYMENT (\$)

1390

FEE TRANSMITTAL for FY 2001

Patent fees are subject to annual revision.

Complete if Known						
Application Number	Unassigned					
Filing Date	HEREWITH					
First Named Inventor	Morita, Akira, et al.					
Examiner Name	Unassigned					
Group Art Unit	Unassigned					
Attorney Docket No.	16869P-024200					

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METHOD OF PAYMENT				FEE CALCULATION (continued)								
The Commissioner is hereby authorized to charge indicated fees and credit any over payments to:					ITIONAL							
Depo		00.4	1420]	Large Fee Code	Entity Fee (\$)	Small Fee Code	Entity Fee (\$)	Fee Description	Fee Paid
Account 20-1430 Number		105	130	205	65	Surcharge - late filing fee or oath						
				127	50	227	25	Surcharge - late provisional filing fee or cover sheet.				
Deposit Account Townsend and Townsend and Crew LLP		139	130	139	130	Non-English specification						
Name				147	2,520	147	2,520	For filing a request for reexamination				
☐ Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17			112	920*	112	920°	Requesting publication of SIR prior to Examiner action					
Applicant claims small entity status. See 37 CFR 1.27			113	1,840*	113	1,840*	Requesting publication of SIR after Examiner action					
2. 🛘	Payme	nt Enclo	sed:				115	110	215	55	Extension for reply within first month	
(Check	☐ Cre	dit card	☐ Money	☐ Other		116	390	216	195	Extension for reply within second month	
				Order			117	890	217	445	Extension for reply within third month	
1. B	BASIC FII	LING FE		LCULATION			118	1,390	218	695	Extension for reply within fourth month	
Large	Entity		Entity				128	1,890	228	945	Extension for reply within fifth month	
Fee	•		-	Fee Description			119	310	219	155	Notice of Appeal	
Code			(\$)		Fee Paid	_	120	310	220	155	Filing a brief in support of an appeal	
101				Utility filing fee	710	_	121	270	221	135	Request for oral hearing	
106 107				Design filing fee Plant filing fee		\dashv	138	1,510	138	1,510	Petition to institute a public use proceeding	
108				Reissue filing fe	e -	ヿ	140	110	240	55	Petition to revive - unavoidable	
114	150	214		Provisional filing		ヿ	141	1,240	241	620	Petition to revive – unintentional	
		142	1,240	242	620	Utility issue fee (or reissue)						
		ŞU	BTOTAL	. (1)	(\$)710		143	440	243	220	Design issue fee	
2 FXTE	RA CLAIN	4 FFFS					144	600	244	300	Plant issue fee	
2. LAI	<i>M</i> OLA	M I LLO	ε	Extra Fe	e from Fee		122	130	122	130	Petitions to the Commissioner	
Total Claim	ns 20	-20**		Claims be	low Paid \$18 = \$0	\neg	123	50	123	50	Petitions related to provisional applications	
Independen Claims	t 11	-3**	= 8	x [\$80 = \$640		126	180	126	180	Submission of Information Disclosure Stmt	
Multiple Dependent		_		× [=		581	40	581	40	Recording each patent assignment per property (times number of properties)	40
Large Fee	Entity Fee	Small Fee	Entity Fee				146	710	246	355	Filing a submission after final rejection (37 CFR § 1.129(a))	
Code	(\$)	Code	(\$)	Fee Descript	lion		149	710	249	355	For each additional invention to be	
103	18	203	9	Claims in exc							examined (37 CFR § 1.129(b))	
102	80	202	40	•	claims in excess of 3		179	710	279	355	Request for Continued Examination (RCE)	
104	270	204	135		endent claim, if not pai		169	900	169	900	Request for expedited examination	
109	80	209	40	original pater				***		900	of a design application	
110	18	210	9	** Reissue cla over original	aims in excess of 20 a patent	ind		e (specify		41	- d A b ddisi f A-	
SUBTOTAL (2) (\$)640				The Commissioner is authorized to charge any additional fees to the above noted Deposit Account.								
••••	.		:5	For Balances as		_	*Reduc	ed by Ba	sic Filin	g Fee Pa	aid SUBTOTAL (3) (\$)40	

SUBMITTED BY Complete (if applicable)							
Name (Print/Type)	Chun-Pok Leung	Registration No. (Attorney/Agent)	41,405	Telephone	650-326-2400		
Signature	Ky	ch place		Date	7-5-01		

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